

## **WHISTLE BLOWER POLICY – ENVIRONMENTAL/SAFETY MATTERS**

### **PROCEDURES FOR THE SUBMISSION OF COMPLAINTS OR CONCERNS REGARDING ENVIRONMENTAL AND SAFETY MATTERS**

The Corporation has established the following procedures for:

- a. the receipt, retention and treatment of complaints regarding environmental, health and safety matters (collectively, “Environmental/Safety Matters”), and
- b. the confidential, anonymous submission by employees and consultants (hereafter “Employees”) of the Corporation of concerns regarding Environmental/Safety Matters.

#### **SUBMISSION OF COMPLAINTS**

Any person, including Employees, may submit a concern or complaint regarding Environmental/Safety Matters to the Chair of the Compensation and Environment, Health & Safety Committee (the “Committee”) without fear of dismissal or retaliation of any kind. The Corporation will not discharge, demote, suspend, threaten, harass or in any manner discriminate against any employee (or take equivalent action against any consultant) in the terms and conditions of employment based upon any lawful actions of an Employee with respect to good faith reporting of concerns or complaints regarding Environmental/Safety Matters.

Any person, including Employees, may forward concerns or complaints regarding Environmental/Safety Matters on a **confidential or anonymous** basis in writing as follows. The person submitting a complaint or a concern should include a telephone number in the submission at which he or she may be contacted if the person requests contact or if the Committee determines that contact is appropriate. Where the person submitting a concern or complaint wishes to remain anonymous, then they can contact our independent whistleblower service (CAREline) at 1-888-747-7171 and an investigator will assist in ensuring the audit committee receives the concern or complaint anonymously.

#### **Scope of Matters Covered by These Procedures**

These procedures relate to concerns or complaints relating to any questionable environmental and safety matters including, without limitation, the following:

- a. fraud or deliberate error in the preparation, evaluation or review of any statement of the Corporation regarding an Environmental/Safety Matter;
- b. fraud or deliberate error in the recording and maintaining of records of the Corporation in respect to an Environmental/Safety Matter; or
- c. deficiencies in or noncompliance with the Corporation’s obligations in respect to an Environmental/Safety Matter.

**Treatment of Complaints**

Upon receipt of a concern or complaint, the Chair of the Committee will:

- a. determine whether the concern or complaint actually pertains to Environmental and Safety Matters; and
- b. when possible, acknowledge receipt of the concern or complaint to the submitter.

Concerns or complaints relating to an Environmental/Safety Matter will be reviewed under Committee direction and oversight by such persons as the Committee determines to be appropriate. Confidentiality will be maintained to the fullest extent possible, consistent with the need to conduct an adequate review.

Prompt and appropriate corrective action will be taken when and as warranted in the judgement of the Committee. When possible and when determined appropriate by the Committee, notice of any corrective action taken will be given to the person who submitted the concern or complaint.

**Reporting and Retention of Complaints and Investigations**

The Chair of the Committee will maintain a log of all concerns or complaints, tracking their receipt and treatment and shall prepare a periodic summary report thereof for the Committee.